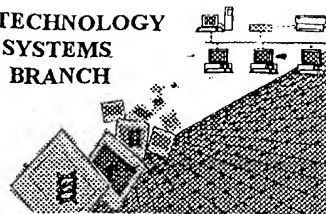


## RAW SEQUENCE LISTING ERROR REPORT

BIOTECHNOLOGY  
SYSTEMS  
BRANCH



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

10/675,406

Source:

Oipe

Date Processed by STIC:

10-10-03

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry directly to (EFFECTIVE 12/01/2003):  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/2003

## Raw Sequence Listing Error Summary

### ERROR DETECTED

### SUGGESTED CORRECTION

SERIAL NUMBER: 101675,404

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1      Wrapped Nucleics  
    Wrapped Aminos     The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
  
- 2      Invalid Line Length     The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
  
- 3      Misaligned Amino  
    Numbering     The numbering under each 5<sup>th</sup> amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.
  
- 4      Non-ASCII     The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. Please **ensure your subsequent submission is saved in ASCII text**.
  
- 5      Variable Length     Sequence(s)          contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
  
- 6      PatentIn 2.0  
    "bug"     A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)         . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
  
- 7      Skipped Sequences  
    (OLD RULES)     Sequence(s)          missing. If intentional, please insert the following lines for **each** skipped sequence:  
                               (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                               (i)     SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
                               (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
                               This sequence is intentionally skipped  
  
                               Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.
  
- 8      Skipped Sequences  
    (NEW RULES)     Sequence(s)          missing. If **intentional**, please insert the following lines for **each** skipped sequence.  
                               <210> sequence id number  
                               <400> sequence id number  
                               000
  
- 9      Use of n's or Xaa's  
    (NEW RULES)     Use of n's and/or Xaa's have been detected in the Sequence Listing.  
                               Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.  
                               In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.
  
- 10 X Invalid <213>  
    Response     Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence
  
- 11      Use of <220>     Sequence(s)          missing the <220> "Feature" and associated numeric identifiers and responses.  
                               Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
                               (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
  
- 12      PatentIn 2.0  
    "bug"     Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
  
- 13      Misuse of n/Xaa     "n" can **only** represent a single nucleotide; "Xaa" can **only** represent a single amino acid



IFWO

## RAW SEQUENCE LISTING

DATE: 10/10/2003

PATENT APPLICATION: US/10/675,406

TIME: 15:01:27

Input Set : A:\5138.txt

Output Set: N:\CRF4\10102003\J675406.raw

3 <110> APPLICANT: Bayer Pharmaceuticals Corporation  
 4 Eveleigh, Deepa  
 5 Taylor, Ian  
 7 <120> TITLE OF INVENTION: METHODS FOR PREDICTION AND PROGNOSIS OF CANCER, AND

## MONITORING

8 CANCER THERAPY

10 &lt;130&gt; FILE REFERENCE: 5138

C--&gt; 12 &lt;140&gt; CURRENT APPLICATION NUMBER: US/10/675,406

C--&gt; 12 &lt;141&gt; CURRENT FILING DATE: 2003-09-30

12 &lt;150&gt; PRIOR APPLICATION NUMBER: US 60/415,194

13 &lt;151&gt; PRIOR FILING DATE: 2002-09-30

15 &lt;160&gt; NUMBER OF SEQ ID NOS: 7

17 &lt;170&gt; SOFTWARE: PatentIn version 3.2

19 &lt;210&gt; SEQ ID NO: 1

20 &lt;211&gt; LENGTH: 1449

21 &lt;212&gt; TYPE: DNA

22 &lt;213&gt; ORGANISM: Homo sapiens

24 &lt;400&gt; SEQUENCE: 1

25 ctggatagaa cagctcaagc cttgccactt cgggcttctc actgcagctg ggcttggact 60  
 27 tcggagtttt gccattgcca gtgggacgtc tgagactttc tccttcaagt acttggcaga 120  
 29 tcaactctctt agcagggtct gcgcttcgca gccgggatga agctggtttc cgtcgcctcg 180  
 31 atgtacctgg gtctgcctgc cttcctagga gctgacaccg ctcggttgga tgtcgcgtcg 240  
 33 gagtttcgaa agaagtggaa taagtgggct ctgagtcgtg ggaagaggga actgcggatg 300  
 35 tccagcagct accccaccgg gctcgtctgc gtgaaggccg gccctgcca gaccttatt 360  
 37 cggccccagg acatgaaggg tgccctctga agccccgaag acagcagtcg ggatgccgcc 420  
 39 cgcacccgag tcaagcgcta ccgccagagc atgaacaact tccagggcct ccggagcttt 480  
 41 ggctgccgct tcgggacgtg cacggtgcag aagctggcac accagatcta ccagttcaca 540  
 43 gataaggaca aggacaacgt cgcgcccgag agcaagatca gcccccaggg ctacggccgc 600  
 45 cggcgccggc gctccctgcc cgaggccggc ccgggtcgga ctctggtgtc ttctaagcca 660  
 47 caagcacacg gggctccagc ccccccaggt ggaagtgtc cccactttct ttaggattta 720  
 49 ggcgccccat gtacaaggaa tagtcgcgca agcatcccgc tgggtcctcc cgggacgaag 780  
 51 gacttcccga gcggtgtggg gaccgggtc tgacagccct gcggagacct tgagtcggg 840  
 53 aggcaccgtc cggcgcgag ctctggcttt gcaagggcc ctccttctgg gggcttcgct 900  
 55 tccttagcct tgctcaggtg caagtgtccc agggggcggg gtgcagaaga atccgagtg 960  
 57 ttgccaggct taaggagagg agaaactgag aaatgaatgc tgagaccccc ggagcagggg 1020  
 59 tctgagccac agcctgtgtc gccacaaaac tgattttctca cggcgtgtca ccccaccagg 1080  
 61 gcgcaagcct cactattact tgaactttcc aaaacctaaa gaggaaaagt gcaatgcgtg 1140  
 63 ttgtacatac agaggtaact atcaatatat aagtttgttg ctgtcaagat tttttttgta 1200  
 65 acttcaaata tagagatttt tttgtacgtt atatattgta ttaaggcat tttaaaagca 1260  
 67 attatattgt cctccctat ttttaagcgt gaatgtctca gcgaggtgta aagttgttcg 1320  
 69 ccgcgtggaa tgtgtgtgtg tttgtgtgca tgaaagagaa agactgatta cctcctgtgt 1380  
 71 ggaagaagga aacaccgagt ctctgtataa tctatttaca taaaatgggt gatatgcgaa 1440  
 73 cagcaaacc  
 76 <210> SEQ ID NO: 2 1449

**Does Not Comply**  
**Corrected Diskette Needed**

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/675,406

DATE: 10/10/2003

TIME: 15:01:27

Input Set : A:\5138.txt

Output Set: N:\CRF4\10102003\J675406.raw

77 <211> LENGTH: 23  
 78 <212> TYPE: DNA  
 79 <213> ORGANISM: Primer *see item 10 on error summary sheet -*  
 81 <400> SEQUENCE: 2  
 82 gtgaatgtct cagcgagggtg taa 23  
 85 <210> SEQ ID NO: 3  
 86 <211> LENGTH: 24  
 87 <212> TYPE: DNA  
 88 <213> ORGANISM: Primer  
 90 <400> SEQUENCE: 3  
 91 ccttcttcca cacaggaggt aatc 24  
 94 <210> SEQ ID NO: 4  
 95 <211> LENGTH: 23  
 96 <212> TYPE: DNA  
 97 <213> ORGANISM: Primer  
 99 <400> SEQUENCE: 4  
 100 ttgcgcgcgt ggaatgtgag tgt 23  
 103 <210> SEQ ID NO: 5  
 104 <211> LENGTH: 23  
 105 <212> TYPE: DNA  
 106 <213> ORGANISM: Primer  
 108 <400> SEQUENCE: 5  
 109 gtgaatgtct cagcgagggtg taa 23  
 112 <210> SEQ ID NO: 6  
 113 <211> LENGTH: 24  
 114 <212> TYPE: DNA  
 115 <213> ORGANISM: Primer  
 117 <400> SEQUENCE: 6  
 118 ccttcttcca cacaggaggt aatc 24  
 121 <210> SEQ ID NO: 7  
 122 <211> LENGTH: 185  
 123 <212> TYPE: PRT  
 124 <213> ORGANISM: Homo sapiens  
 126 <400> SEQUENCE: 7  
 128 Met Lys Leu Val Ser Val Ala Leu Met Tyr Leu Gly Ser Leu Ala Phe  
 129 1 5 10 15  
 132 Leu Gly Ala Asp Thr Ala Arg Leu Asp Val Ala Ser Glu Phe Arg Lys  
 133 20 25 30  
 136 Lys Trp Asn Lys Trp Ala Leu Ser Arg Gly Lys Arg Glu Leu Arg Met  
 137 35 40 45  
 140 Ser Ser Ser Tyr Pro Thr Gly Leu Ala Asp Val Lys Ala Gly Pro Ala  
 141 50 55 60  
 144 Gln Thr Leu Ile Arg Pro Gln Asp Met Lys Gly Ala Ser Arg Ser Pro  
 145 65 70 75 80  
 148 Glu Asp Ser Ser Pro Asp Ala Ala Arg Ile Arg Val Lys Arg Tyr Arg  
 149 85 90 95  
 152 Gln Ser Met Asn Phe Gln Gly Leu Arg Ser Phe Gly Cys Arg Phe  
 153 100 105 110  
 156 Gly Thr Cys Thr Val Gln Lys Leu Ala His Gln Ile Tyr Gln Phe Thr

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/675,406

DATE: 10/10/2003

TIME: 15:01:27

Input Set : A:\5138.txt

Output Set: N:\CRF4\10102003\J675406.raw

```

157          115          120          125
160 Asp Lys Asp Lys Asp Asn Val Ala Pro Arg Ser Lys Ile Ser Pro Gln
161      130          135          140
164 Gly Tyr Gly Arg Arg Arg Arg Arg Ser Leu Pro Glu Ala Gly Pro Gly
165 145          150          155          160
168 Arg Thr Leu Val Ser Ser Lys Pro Gln Ala His Gly Ala Pro Ala Pro
169          165          170          175
172 Pro Ser Gly Ser Ala Pro His Phe Leu
173          180          185

```

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/675,406

DATE: 10/10/2003

TIME: 15:01:28

Input Set : A:\5138.txt

Output Set: N:\CRF4\10102003\J675406.raw

L:12 M:270 C: Current Application Number differs, Replaced Current Application No

L:12 M:271 C: Current Filing Date differs, Replaced Current Filing Date